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1c820 U.S. PTO  
07/11/00Please type a plus sign (+) inside this box → ☐PTO/SB/05 (4/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 83000.1035C

First Inventor or Application Identifier SWAMINATHAN et al.

Title METHOD AND APPARATUS FOR TIMELY DELIVERY OF A...

Express Mail Label No. EL582484292US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☐ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 39]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
4. Oath or Declaration [Total Pages 9]
- a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

7. ☒ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ \* Small Entity Statement(s) filed in prior application, Status still proper and desired (PTO/SB/09-12)
14. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
15. ☒ Other: 1) Suppl. Util. Appl. Transmittal  
2) Express Mail certificate of mailing

\* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09 / 105,963

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name Gary A. Hecker  
THE HECKER LAW GROUP

Address 1925 Century Park East  
Suite 2300

City Los Angeles, State CA Zip Code 90067

Country USA Telephone (310) 286-0377 Fax (310) 286-0488

Name (Print/Type) Gary A. Hecker Registration No. (Attorney/Agent) 31,023

Signature \_\_\_\_\_ Date 7/11/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents  
 BOX PATENT APPLICATION  
 Washington, D.C. 20231

SUPPLEMENTAL UTILITY PATENT APPLICATION TRANSMITTAL

Sir:

This is a request for filing a

  X   Continuation application  
      Divisional application

under 37 CFR 1.53(b), of pending prior application

Serial No. 09/105,963

filed: June 26, 1998

of SWAMINATHAN et al.

for: METHOD AND APPARATUS FOR TIMELY DELIVERY OF A BYTE CODE AND SERIALIZED  
 OBJECTS STREAM

- 1. Please enter the preliminary amendment, enclosed herewith.
- 2. Please cancel claims \_\_\_\_\_.
- X   3. Please amend the Specification by inserting after the title, the sentence:  
 --This is a continuation of application Serial No. 09/105,963 filed  
June 26, 1998.--
- X   4. The prior application is assigned to: SUN MICROSYSTEMS, INC.
- X   5. The Power of Attorney in the prior application is to:

Gary A. Hecker et al. of  
 THE HECKER LAW GROUP  
 (previously Hecker & Harriman)

- X   (a) The Power appears in the original papers of the prior application.
- (b) Since the Power does not appear in the original papers, a copy of the Power in  
 the prior application is enclosed.

- X (c) Recognize as associate attorney and address all future communications to:

Gary A. Hecker  
 THE HECKER LAW GROUP  
 1925 Century Park East, Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

- X 6. It is hereby requested that any request for a convention priority made in the prior application be transferred to the present application.
- \_\_\_ 7. Applicant hereby petitions for an extension of time of \_\_\_\_\_ pursuant to Rule 1.136, if one is needed, for the above-noted prior application. A duplicate copy of this sheet is enclosed for filing in the proper application file.
- \_\_\_ 8. The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication, or credit any overpayment, to Deposit Account Number 08-1520. A duplicate of this authorization is enclosed.

Respectfully submitted,

THE HECKER LAW GROUP

Date: July 11, 2000

By: \_\_\_\_\_

GARY A. HECKER

Reg. No. 31,023

\_\_\_ Inventor(s)

\_\_\_ Assignee of Complete Interest

X Attorney of Record in prior application.

1925 Century Park East  
 Suite 2300  
 Los Angeles, California 90067  
 (310) 286-0377

**CERTIFICATE OF MAILING**

*I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as EXPRESS MAIL (Label No. EL582484292US) in an envelope addressed to: Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231, on July 11, 2000:*

*Nancy L. Anselmi* 7-11-00  
 Signature Date